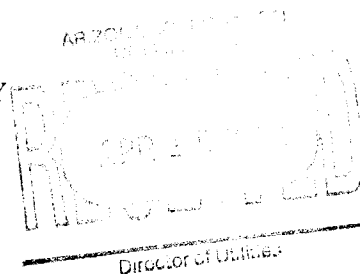


ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

W-03847A
CASA GRANDE SOUTH WATER COMPANY
498 E. 4TH STREET
CASA GRANDE AZ 85222-



C

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN04	01
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Processed by:

4-18-02 am

SCANNED

COMPANY INFORMATION

Company Name (Business Name) <u>CASA GRANDE Southwestern Company</u>		
Mailing Address <u>498 E 4th Street</u>		
<u>CASA GRANDE</u> (City)	<u>AZ</u> (State)	<u>85222</u> (Zip)
<u>520 836-0267</u> Telephone No. (Include Area Code)	 Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)
Email Address _____		
Local Office Mailing Address <u>498 E 4th St</u>		
<u>CASA GRANDE</u> (City)	<u>AZ</u> (State)	<u>85222</u> (Zip)
<u>520 836-0267</u> Local Office Telephone No. (Include Area Code)	 Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)
Email Address _____		

MANAGEMENT INFORMATION

Management Contact: <u>JAMES W LITTLE</u>			<u>PRESIDENT</u>	
(Name)			(Title)	
<u>498 E 4th St CASA GRANDE</u>			<u>AZ</u>	<u>85222</u>
(Street)			(City)	(State) (Zip)
<u>520 836-0267</u>				
Telephone No. (Include Area Code)			Fax No. (Include Area Code)	
Pager/Cell No. (Include Area Code)				
Email Address _____				
On Site Manager: <u>JAMES W LITTLE</u>				
(Name)				
<u>498 E 4th St CASA GRANDE</u>			<u>AZ</u>	<u>85222</u>
(Street)			(City)	(State) (Zip)
<u>520 836-0267</u>				
Telephone No. (Include Area Code)			Fax No. (Include Area Code)	
Pager/Cell No. (Include Area Code)				
Email Address _____				

Statutory Agent:

JAMES W LITTLE

(Name)

498 E 4th St CASA GRANDE

(Street)

(City)

AZ

(State)

85222

(Zip)

520 836-0267

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Attorney:

THOMAS MCGARDILL

(Name)

221 N Florence St CASA GRANDE

(Street)

(City)

AZ

(State)

85222

(Zip)

520 836-8265

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) _____

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☐ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☐ MOHAVE

☐ NAVAJO

☐ PIMA

☒ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

☐ STATEWIDE

COMPANY NAME

CASA GRANDE South Western Company

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements			
307	Wells and Springs			
311	Pumping Equipment			
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes			
331	Transmission and Distribution Mains	21150	1234	19916
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	21150	1234	19916

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME CASA GRANDE South Water Company

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements			
307	Wells and Springs			
311	Pumping Equipment			
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes			
331	Transmission and Distribution Mains	21150	5	1058
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	21150	5	1058

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME

CASA GRANDE LAND WATERS COMPANY

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 1067	\$ 1302
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		2872
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 1067	\$ 4174
	FIXED ASSETS		
101	Utility Plant in Service	\$ 21150	\$ 21150
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation - Utility Plant	< 176 >	< 1234 >
121	Non-Utility Property		
122	Accumulated Depreciation - Non Utility		
	TOTAL FIXED ASSETS	\$ 20974	\$ 19916
	TOTAL ASSETS	\$ 22041	\$ 24090

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME CASA GRANDE South Water Company**BALANCE SHEET (CONTINUED)**

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities	8732	5486
	TOTAL CURRENT LIABILITIES	\$ 8732	\$ 5486
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ 13258	\$ 12939
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$ 21990	\$ 18424
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 200	\$ 200
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	< 149 >	5466
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 51	\$ 5666
	TOTAL LIABILITIES AND CAPITAL	\$ 22041	\$ 24090

COMPANY NAME

Llan Guadalupe South Water Company

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

*Two Months

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 4091	\$ 42959
460	Unmetered Water Revenue		
474	Other Water Revenues		
	TOTAL REVENUES	\$ 4091	\$ 42959
	OPERATING EXPENSES		
601	Salaries and Wages	\$	\$
610	Purchased Water	1253	22431
615	Purchased Power		
618	Chemicals		
620	Repairs and Maintenance	150	2439
621	Office Supplies and Expense	772	3276
630	Outside Services	1700	
635	Water Testing		349
641	Rents		
650	Transportation Expenses		2485
657	Insurance - General Liability		800
659	Insurance - Health and Life		
666	Regulatory Commission Expense - Rate Case		
675	Miscellaneous Expense		
403	Depreciation Expense	176	1058
408	Taxes Other Than Income		2669
408.11	Property Taxes	66	826
409	Income Tax		50
	TOTAL OPERATING EXPENSES	\$ 4117	\$ 36383
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$ 1	\$ 18
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense	124	979
	TOTAL OTHER INCOME/EXP	\$ <123>	\$ <961>
	NET INCOME/(LOSS)	\$ <149>	\$ 5615

COMPANY NAME

SMA GRANDE South Water Company

SUPPLEMENTAL FINANCIAL DATA**Long-Term Debt**

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued	11-01-00			
Source of Loan	USDA			
ACC Decision No.				
Reason for Loan	Purchase			
Dollar Amount Issued	\$ 13350	\$	\$	\$
Amount Outstanding	\$ 12938	\$	\$	\$
Date of Maturity	11-1-20			
Interest Rate	5.5%			
Current Year Interest	\$ 723	\$	\$	\$
Current Year Principle	\$ 320	\$	\$	\$

Meter Deposit Balance at Test Year End

\$ _____

Meter Deposits Refunded During the Test Year

\$ _____

COMPANY NAME

CASA GRANDE South Water Company

WATER COMPANY PLANT DESCRIPTIONWELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = 0

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity

STATISTICAL INFORMATION

Total number of customers 65

Total number of gallons sold 11,802,232 gallons

COMPANY NAME

CASA GRANDE South Western Company

YEAR ENDING 12/31/2001

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported

5782

Estimated or Actual Federal Tax Liability

867

State Taxable Income Reported

5832

Estimated or Actual State Tax Liability

406

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances

Amount of Gross-Up Tax Collected

Total Grossed-Up Contributions/Advances

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

SIGNATURE

DATE

PRINTED NAME

TITLE

COMPANY NAME SAGA GRANDE South Water Company YEAR ENDING 12/31/2001

**WATER AND SEWER
UTILITIES ONLY**

PROPERTY TAXES

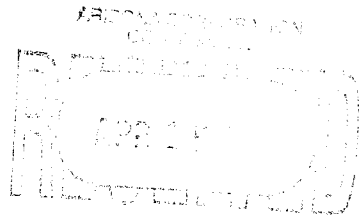
Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)

\$ 826

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**



VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	<u>PINAL</u>
NAME (OWNER OR OFFICIAL), TITLE	<u>JAMES W. KETTER PRESIDENT</u>
COMPANY NAME	<u>CASA GRANDE SANITARY WATER COMPANY</u>

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2001</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 42959

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 2669

IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SUBSCRIBED AND SWORN TO BEFORE ME

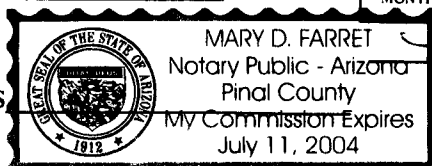
A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 12th **DAY OF**

COUNTY NAME	<u>PINAL</u>	
MONTH	<u>April</u>	<u>2002</u>

(SEAL)

MY COMMISSION EXPIRES



SIGNATURE OF OWNER OR OFFICIAL

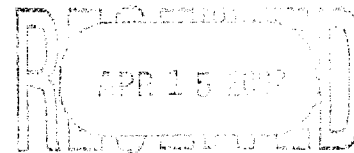
520 836-0267

TELEPHONE NUMBER

SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION
COMMISSION



VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME) <u>PINAL</u>	
NAME (OWNER OR OFFICIAL) <u>JAMES W. HATHA</u>	TITLE <u>PRESIDENT</u>
COMPANY NAME <u>SAGE GRANDE South Water Company</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2001</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>42959</u>

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 2669
IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

X [Signature]
SIGNATURE OF OWNER OR OFFICIAL

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

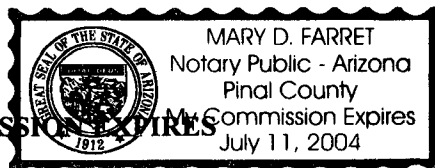
THIS

12th

DAY OF

(SEAL)

MY COMMISSION EXPIRES



NOTARY PUBLIC NAME <u>MARY D. FARRET</u>	
COUNTY NAME <u>PINAL</u>	
MONTH <u>April</u>	2002

X [Signature]
SIGNATURE OF NOTARY PUBLIC

www.co.pinal.az.us/treasurer e-mail: treasurer@co.pinal.az.us

MAIL Batch: 1987204
Payment Date: 11/06/2001
Interest Date: 10/31/2001
Printed By: TRSDSH

Parcel Number	Description	Amt Pd	Balance Due	If Paid By
923-90-40109	2001 Taxes	396.75		
	Paid	396.75	396.75	05/01/2002
	Total Paid	<u>396.75</u>		
TOTAL VALUE OF OPERATING PROPERTY				
